

**Rule 6 and Rule 32 - Contract Exceptions**

Fiscal Year	Quarter	Department	Category	Exception, Extension or Modification	Contract Title	Contract Description	Applicable Contract Procedure Rule	Total Initial Contract Value (£)
Yr20-21	Q2(1st Jul-30th Sep)	Corporate Resources	Building & Civil Engineering	Exception	Appointment of IMA Architectural Services for Phase 3 – 4 Airfield Business Park, Harborough Road. Market Harborough.	Town Planning Support for Co-ordination and Preparation of Planning Application and Pre – construction Design Development Tender Pack.	Rule 6 (b) (i)	£66,550.00
Yr20-21	Q2(1st Jul-30th Sep)	Corporate Resources	Construction cost planning and management	Exception	Technical Expertise to support Internal Audit Service	Review Zouch bridge replacement project costs	Rule 6 (a) (i)	£9,450.00
Yr20-21	Q2(1st Jul-30th Sep)	Adults & Communities	Social Care - Adults	Exception	Visual Impairment Support & Rehabilitation Service	The Provider will deliver a short term rehabilitation service, providing specialist assessment and rehabilitation to individuals with a visual impairment and/or dual sensory loss who are suitable for and would benefit from, a period of rehabilitation.  Rehabilitation and Support - The Provider will deliver approximately 3300 hours of rehabilitation (including both assessment and rehabilitation) across the County After the award of this contract, an additional sum of £6,000 was provided by Adults & Communities to provide specialist equipment	Regulation 32	£830,000.00
Yr20-21	Q2(1st Jul-30th Sep)	Environment & Transport	Waste Management	Exception	Contract for the treatment of Dry Recyclables arising within Leicestershire	Processing dry recycling material originating from kerbside collections and at RHWS within Leicestershire	Regulation 32	£1,000,000.00
Yr20-21	Q2(1st Jul-30th Sep)	Public Health	CBS	Exception	TCR data system	TCR data system for NHS Health Check provision for CBS providers until 31 March 2022.	Rule 6	£71,091.00
Yr20-21	Q2(1st Jul-30th Sep)	Public Health	CBS	Exception	Community Based Services (Pharmacies)	This exception request is to add community flu jabs to the Community Based Services framework for Pharmacies that are already part of this framework and are delivering smoking cessation and Emergency Hormone Contraception in the County. This will be for the remaining period of the framework.	Rule 6	£126,400.00
Yr20-21	Q2(1st Jul-30th Sep)	Public Health	Wellbeing for Education Return Trainin	Exception	Wellbeing for Education Return (WfER)	Two local providers (Wellbeing Education & Billson Consulting) to deliver the WfER project.	Rule 6 (a) (i)	£59,400.00
Yr20-21	Q2(1st Jul-30th Sep)	Environment & Transport	Waste	Exception	Repair to damage WTS wall and posts phase 1	Phase 1: Dismantling the damaged areas of Whetstone WTS push-wall to make the area safe and allow reassessment and recommendations for replacement push-wall.	Rule 6(b)(i)	£10,285.00
Yr20-21	Q2(1st Jul-30th Sep)	Environment & Transport	Building & Civil Engineering	Exception	Arboriculture Maintenance Services on Schools and Other Council Properties in Leicestershire	Highways Delivery to continue using the Contract for Arboriculture Maintenance Services in Leicestershire to undertake 'top up' forestry and tree care services until a replacement contract becomes effective (currently in the planning stage and anticipated to be in place by 1st April 2021).	Rule 6(b)(i)	£175,000.00
Yr20-21	Q2(1st Jul-30th Sep)	Environment & Transport	Waste	Exception	Master Composter programme in Leicestershire	Details the provision of services relating to the recruitment, training and ongoing support of home composting volunteers in Leicestershire.	Rule 6(b)(i) & 6(C)	£16,000.00
Yr20-21	Q2(1st Jul-30th Sep)	Environment & Transport	Local Bus Service	Exception	COVID-19 PTPS services	The need for modification was brought about by the onset of Covid-19 which the Council could not foresee. The overall nature of the contracts will not be altered – the contracts will simply be extended until March 2022, by which time it is anticipated that the bus market will have recovered sufficiently to be in a position to respond to future tender opportunities. The contract extensions will not exceed 50% of the original value of the contract.	Regulation 32	£2,654,586.00
Yr20-21	Q3(1st Oct-31st Dec)	Environment & Transport	Building & Civil Engineering	Exception	Lot 2 Temporary Traffic Lights 2016	Provision of Temporary Traffic Lights under Lot 2 of the Temporary Traffic Management Contract	Regulation 32	£100,000.00
Yr20-21	Q3(1st Oct-31st Dec)	Environment & Transport	Waste Management	Exception	Dredging of Snarestone Offline Reserve	To dredge along 50m L x 2M wide stretch of the reserve, remove vegetation and silts and deposit this offsite at the Ashby Canal dredging project field/offsite to landfill.	Rule 6(b)(i)	£18,016.95

Yr20-21	Q3(1st Oct-31st Dec)	Corporate Resources	COVID Community Support	Exception	Food vouchers via and electronic voucher system	Over the weekend of 7th November 2020 the Government announced the £170m Covid Winter Support Grant and confirmed this in writing to the Authority on the 10th November 2020. The primary policy objectives behind the scheme are to support families with children with food, energy and utility costs over the winter although some provision can be made for other vulnerable families.	Regulation 32 Rule 6 (b)(ii)	£659,000.00
Yr20-21	Q3(1st Oct-31st Dec)	Environment & Transport	Environment	Exception	Ashby Canal Breach – Emergency Remediation Works	Construction of temporary structures, most likely a clay dam or dams, to ensure no further escape of water and to re-float stranded boats.	Rule 6(b)(i)	£13,000.00
Yr20-21	Q3(1st Oct-31st Dec)	Public Health	Think Family - school based mental health awareness	Exception	Think Family - school based mental health awareness	Allow for the direct award of phase 2 of the "Think Family" school based programme to support children and families where there is a history of parental mental illness	Rule 6 (a) (i)	£22,700.00
Yr20-21	Q3(1st Oct-31st Dec)	Public Health	Health Improvement Services	Exception	Health Improvement Services	Think Family - school based mental health awareness	Rule 6 (a) (i)	£41,014.13
Yr20-21	Q4(1st Jan-31st Mar)	Public Health	COVID Community Support	Exception	Fuel Voucher Scheme	Support households directly impacted by the pandemic with fuel vouchers	Rule 6 (b) (i)	£80,000.00
Yr20-21	Q4(1st Jan-31st Mar)	Adults & Communities	IT systems	Exception	HART Rostering Replacement		Rule 6b (ii)	£389,200
Yr20-21	Q4(1st Jan-31st Mar)	Children & Family Services	Targeted Early Help & Children Children's Social Care	Exception	Parenting Support for Parents of Children with suspected or diagnosed ADHD	Provides an opportunity to work with families with young people with ADHD alongside the Council and other services, to ensure their needs are met in terms of managing child / young person behaviour	Rule 6b (i)	£15,000
Yr20-21	Q4(1st Jan-31st Mar)	Environment & Transport	Building & Civil Engineering	Exception	Supply of Ready Mix Concrete 2017	Supply of Ready Mix Concrete 2017 for Highways Delivery schemes.	Rule 6b (i)	£1,000,000
Yr20-21	Q4(1st Jan-31st Mar)	Environment & Transport	Environment	Exception	Fleet Consultancy Support: PVS	Consultancy support	Rule 6a & 6b (i)	£84,000
Yr20-21	Q4(1st Jan-31st Mar)	Environment & Transport	Environment	Exception	Publishment of Legal Traffic Orders in local press	Historically, relevant TROs, TTROs and Public Rights of Way legal notices are placed as and when required via legal services directly via Reach Publishing Plc with no formal contract in place.	Rule 6b (i)	£345,000
Yr20-21	Q4(1st Jan-31st Mar)	Environment & Transport	Building & Civil Engineering	Exception	LOT 1. BULK DISPOSAL FROM SITE LOT 2. TIPPING COSTS ONLY LOT 3. WASTE DISPOSAL BY SKIP 2016 003 A NEC FRAMEWORK CONTRACT	Supply of waste collection and disposal services to Highway Delivery works activities.	Rule 6b (i)	£1,000,000
Yr20-21	Q4(1st Jan-31st Mar)	Environment & Transport	Building & Civil Engineering	Exception	Supply of Civils Engineering Materials	Highways Delivery require H&B to continue supply of building a civil engineering consumables during mobilisation of the replacement civils materials supply contract. This is to ensure continuity of materials supply and support Highways Delivery in maintaining the highway asset.	6a (iii)	£1,200,000
Yr20-21	Q4(1st Jan-31st Mar)	Environment & Transport	Environment	Exception	Tyres and related services contract	The supply and fitting of tyres to all LCC Fleet vehicles including, cars, light commercial vehicles (LCVs), Heavy Good Vehicles (HGVs) Minibuses and plant. Minimum timeframe to allow for reprocurement.	Rule 6b (i)	£10,000
Yr20-21	Q4(1st Jan-31st Mar)	Public Health	Health Related Behaviour Questionnaire	Exception	Health Related Behaviours Questionnaire	Survey for all leicestershire schools	Rule 6a (i)	£49,500.00
Yr20-21	Q4(1st Jan-31st Mar)	Public Health	Energy Efficiency Works	Exception	Green Homes Grant 1B	Green homes grant scheme	Rule 6b (ii)	£2,970,413
Yr20-21	Q4(1st Jan-31st Mar)	Public Health	Health Improvement team	Exception	Beans Project growing	To support education of food growing and environmental footprint of food by growing new novel product for the Beans Project	Rule 6a (i)	£5,700
Yr20-21	Q4(1st Jan-31st Mar)	Public Health	Food plan	Exception	Veg Power Campaing and Evaluation	Enable us to take part in a unique national campaign called Veg Power including provision of thousands of packs for school children	Rule 6a (i)	£14,495.00
Yr20-21	Q4(1st Jan-31st Mar)	Public Health	Substance misuse Brief intervention digital platform	Exception	Substance Misuse Brief Digital Platform	Direct award to TP for addition of Substance Misuse online modules to Alchohol Breif Intervention (online) supper which which was a tendered process	Rule 6a (i)	£16,050

Yr20-21	Q4(1st Jan-31st Mar)	Public Health	Food Plan	Exception	Leics Mums' Healthy Nutrition Boxes	To support families with developing healthier eating habits at home	Rule 6b (i)	£23,000.00
Yr20-21	Q4(1st Jan-31st Mar)	Children & Family Services	Childrens Services Wellbeing	Exception	Food Parcels to those identified through Childrens and Families Wellbeing Centers	Food boxes to deliver to vulnerable families over Easter due to the pandemic	Rule 6b (i)	£45,120.00

### Rule 30 - Contract Extension or Modification

Fiscal Year	Quarter	Department	Category	Exception, Extension( Modification) or Others?	Contract Title	Contract Description	Applicable Contract Procedure Rule	Total Contract Extension Value (£)
Yr20-21	Q2(1st Jul-30th Sep)	Children & Family Services	Education & Early Help Services	Extension	Standing list of providers for continual professional development training for private, voluntary and independent childcare providers in Leicestershire	A standing list of providers for continual professional development training for private, voluntary and independent childcare providers in Leicestershire.	Rule 30 (g)	£14,000.00
Yr20-21	Q2(1st Jul-30th Sep)	Children & Family Services	Education & Early Help Services	Extension	Appropriate Body for Newly Qualified Teacher (NQT) Induction	The Council's statutory role as the Appropriate Body for the purposes of NQT Induction is being met.	Rule 30 (g)	£56,760.00
Yr20-21	Q2(1st Jul-30th Sep)	Public Health	Integrated Sexual Health Service	Modification	Integrated Sexual Health Service	Agenda for Change Cost Pressure for Integrated Sexual Health Service for 2020-2021	Rule 30 of the Contract Procedure Rules	£5,025,136.00
Yr20-21	Q2(1st Jul-30th Sep)	Public Health	Suicide Prevention	Extension	Suicide Prevention		Rule 30 of the Contract Procedure Rules	£24,816.00
Yr20-21	Q4(1st Jan-31st Mar)	Children & Family Services	Education & Early Help Services	Extension	Provision of Leicestershire Early Learning and Childcare Service Training	Standing list of providers for continual professional development training for private, voluntary and independent childcare providers in Leicestershire.	Rule 30 (h)	£35,000.00
Yr20-21	Q4(1st Jan-31st Mar)	Children & Family Services	SEND & Education	Extension	Governors Training Services Standing List	Provision of Training Services for School Governors/Governing Bodies.	Rule 30 (g)	£28,000.00
Yr20-21	Q4(1st Jan-31st Mar)	Public Health	0-19	Modification /Extension by Exception	0-19 Healthy Child Programme	This service ensures delivery of the Healthy Child Programme across Leicestershire and Rutland, for children, young people, and their families (aged 0-19 years) and up to the age of 25 for young people with Special Educational Needs and/or Disabilities (SEND).	Rule 30 (C)	£3,400,000.00

This page is intentionally left blank